

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

August 31, 2006

In re application of : KLOOTZ, Jack
Serial No. : 10/711,006
Filed : August 17, 2004
Entitled : **IMPROVED ILLUMINATION
FOR COAXIAL VARIABLE
SPOT HEADLIGHT**
Examiner : Payne, Sharon E.
Art Unit : 2875
Our File No. : 10885.3802

REQUEST FOR REFUND

Mail Stop 16
Attention: Refund Branch
Director of the USPTO
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Our office electronically filed an Amendment After Final Under Rule 1.116 on August 29, 2006 for the above-referenced patent application. However, we inadvertently failed to state that two (2) additional independent claims were added in the Amendment. As a result, \$400.00 was deducted from our Deposit Account No. 13-1130. We believe that the correct amount that should have been deducted from our Deposit Account is \$200.00 since we have claimed "small entity" status. Enclosed please find a copy of Official Filing Receipt mailed September 17, 2004 reflecting small entity status together with a copy of our deposit account statement for your reference.

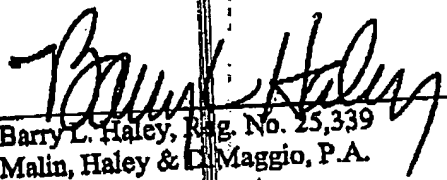
AUG.31.2006 9:54AM MALIN HALEY

NO.768 P.3/7

In re application of: KLOOTZ, Jack
Serial No.: 10/711,006
Page 2

We respectfully request that the \$200.00 overpayment be refunded to our firm's
Deposit Account 13-1130.

Respectfully submitted,


Barry L. Haley, Reg. No. 25,339
Malin, Haley & DiMaggio, P.A.
1936 South Andrews Ave.
Fort Lauderdale, Florida 33316
Tel: (954) 763-3303
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E:\10885\firm\3802.request refund after final

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NO. 768 P. 4/7



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| APPL NO. | FILING OR 371 (a) DATE | ART UNIT | RL FEE REC'D | ATTY. DOCKET NO. | DRAWINGS | TOT CLMS | IND CLMS |
|------------|---------------------------|----------|--------------|------------------|----------|----------|----------|
| 10/711,006 | 08/17/2004 | 2875 | 385 | 10885.3802 | 4 | 5 | 2 |

22235
MALIN HALEY AND DIMAGGIO, PA
1836 S ANDREWS AVENUE
FORT LAUDERDALE, FL 33318

CONFIRMATION NO. 5005

FILING RECEIPT

00000000013835162

Date Mailed: 09/17/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of (Initial) Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Jack Kloutz, Naples, FL;

Power of Attorney:

Barry Haley-25339
Dale P. Di Maggio-31823
Joseph Englander-38871
Jerry Haynes-42848

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 09/17/2004

The number of your priority application, to be used for filing abroad under the Paris Convention is.
US10/711,006

Projected Publication Date: 02/23/2008

Non-Publication Request: No

Early Publication Request: No

" SMALL ENTITY "

Title

IMPROVED ILLUMINATION FOR COAXIAL VARIABLE SPOT HEADLIGHT

Preliminary Class

382

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

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Deposit Account Statement

Requested Statement Month:
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August 2006
131130
MALIN, HALEY & DIMAGGIO, P.A.
JENNY BRAND
1936 SOUTH ANDREWS AVENUE
FORT LAUDERDALE
FL
33316
UNITED STATES

| DATE | SEQ | POSTING REF TXT | ATTORNEY DOCKET NBR | FEE CODE | AMT | BAL |
|-------|------|--------------------|---------------------------|-------------|-------------|------------|
| 08/01 | 1479 | 78941892 | 11218.9701 | 7001 | \$325.00 | \$4,508.39 |
| 08/02 | 22 | 6446439 | | 1554 | \$65.00 | \$4,443.39 |
| 08/02 | 28 | 6446438 | | 1554 | \$65.00 | \$4,378.39 |
| 08/02 | 34 | 6442946 | | 1554 | \$65.00 | \$4,313.39 |
| 08/02 | 153 | 60821021 | 11212.2801 | 2005 | \$100.00 | \$4,213.39 |
| 08/02 | 1908 | 60821169 | 11138.3801 | 2005 | \$100.00 | \$4,113.39 |
| 08/03 | 1324 | 10804215 | 10210.3808 | 2501 | \$700.00 | \$3,413.39 |
| 08/03 | 1325 | 10604216 | 10210.3806 | 8007 | \$20.00 | \$3,393.39 |
| 08/04 | 225 | 78944284 | 11128.3703 | 7001 | \$325.00 | \$3,068.39 |
| 08/04 | 1252 | 78944834 | 11076.3702 | 7001 | \$325.00 | \$2,743.39 |
| 08/04 | 1258 | 78430276 | 9530.47113 | 7004 | \$150.00 | \$2,593.39 |
| 08/08 | 12 | PAYMENT | | 9203 | \$700.00 | \$1,893.39 |
| 08/08 | 415 | 10908999 | 10224.3802 | 8021 | \$80.00 | \$1,813.39 |
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| 08/09 | 111 | 2143874 | 10988.4515 | 8521 | \$40.00 | \$1,693.39 |
| 08/09 | 112 | 2143874 | 10988.4515 | 8522 | \$375.00 | \$1,318.39 |
| 08/09 | 419 | 2315147 | 9463.4501A | 7205 | \$100.00 | \$1,218.39 |
| 08/09 | 420 | 2315147 | 9463.4501A | 7208 | \$200.00 | \$1,018.39 |
| 08/09 | 421 | 2315147 | 9463.4501A | 7206 | \$100.00 | \$918.39 |
| 08/10 | 7 | E-REPLENISHMENT | | 9203 | -\$1,000.00 | \$1,918.39 |
| 08/14 | 14 | 11493944 | 8083.4809 | 4011 | -\$75.00 | \$1,893.39 |
| 08/14 | 15 | 11493944 | 8083.4809 | 2011 | \$150.00 | \$1,843.39 |
| 08/15 | 78 | E-REPLENISHMENT | | 9203 | -\$1,500.00 | \$3,343.39 |
| 08/16 | 70 | 11163924 | 11076.3801 | 2251 | \$60.00 | \$3,283.39 |
| 08/16 | 1299 | 2383484 | 10735.4715 | 7205 | \$100.00 | \$3,183.39 |
| 08/16 | 1300 | 2383484 | 10735.4715 | 7208 | \$200.00 | \$2,983.39 |
| 08/16 | 1301 | 2383484 | 10735.4715 | 7206 | \$100.00 | \$2,883.39 |

NO. 768 Page 7 of 2

| START BALANCE | SUM OF CHARGES | SUM OF REPLENIS | END BALANCE |
|------------------|-------------------|--------------------|----------------|
| \$4,833.39 | \$8,400.00 | \$4,675.00 | \$1,108.39 |

8/31/2006
PAGE 717 * RCVD AT 8/31/2006 10:44:33 AM [Eastern Daylight Time] * SVR:USPTO-EFXRF-3/2 * DNS:2736500 * CSID: * DURATION (mm:ss):02-02
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MALIN HALEY

NO. 768

P. 1/7

Serial Number: 110/711,006

File Number: 10885.3802

CERTIFICATE OF TRANSMISSION

I HEREBY CERTIFY that the following correspondence: Request for Refund; a copy of the Official Filing Receipt; and a copy of our Deposit Account Statement are being facsimile transmitted to Refund Branch, Commissioner for Patents, Office Fax No. (571) 273-6500, on this 31st day of August, 2006. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code.

Any additional charges, including extension of time, please bill our Account No. 13-1130.


Arlette J. Breakstone / Paralegal

Date: August 31, 2006

MALIN, HALEY & DIMAGGIO, P.A.
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Fort Lauderdale, Florida 33316
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